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UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA

- W.

ELIAS HERBERT HAFEN, a/k/a "Elias Niggebrugge,"

Defendant.

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WAIVER OF INDICTMENT

19 CRIM 637

Judge Hellerstein

The above-named defendant, who is accused of violating Title 15, United States Code, Sections 80b-6 and 80b-17, and Title 18, United States Code, Section 2, being advised of the nature of the charges and of his rights, hereby waives, in open Court, prosecution by indictment and consents that the proceeding may be by information instead of by indictment.

Date:

New York, New York September 4, 2019

Elias Herbert Hafen

Defendant

Witness

E. Scott Schirick, Esq. Counsel for Defendant